

FORM-PTO-1390 (Rev. 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 001560-373	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	
INTERNATIONAL APPLICATION NO. PCT/JP99/00406		INTERNATIONAL FILING DATE January 29, 1999		PRIORITY DATE CLAIMED January 30, 1998	
TITLE OF INVENTION PROCESS FOR PRODUCING PEPTIDES USING A HELPER PEPTIDE					
APPLICANT(S) FOR DO/EO/US Kazuhiro OHSUYE, Masayuki YABUTA, Yuji SUZUKI					

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
- ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☒ has been transmitted by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ have been transmitted by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

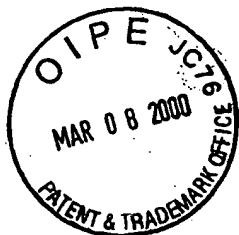
- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☒ Other items or information:  
International Search Report; Preliminary Examination Report; PCT Demand, Sequence Listing, Declaration and Disk

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Filed 9/29/99  
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PTO-103X  
(6-99)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/402,093	09/29/99	1646	\$966.00	001560-373	24	27	3

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) KAZUHIRO OHSUYE, OHTA-SHI, JAPAN; MASAYUKI YABUTA,  
TATEBAYASHI-SHI, JAPAN; YUJI SUZUKI, ASHIKAGA-SHI, JAPAN.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A 371 OF PCT/JP99/00406 01/29/99

FOREIGN APPLICATIONS- JAPAN 10-32272 01/30/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/16/99

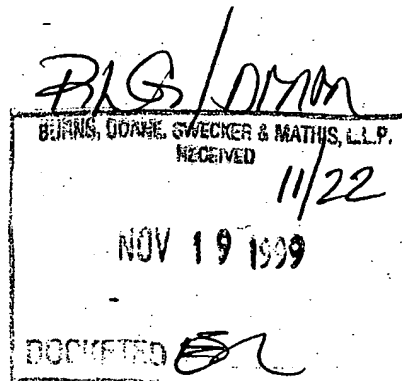
TITLE  
PROCESS FOR PRODUCING PEPTIDE WITH THE USE OF ACCESSORY PEPTIDE

PRELIMINARY CLASS: 530

DELETE

INSERT

PROCESS FOR PRODUCING PEPTIDES USING A  
HELPER PEPTIDE



001560-373

A. AOKI, ISHIDA = 1/28/00

DATA ENTRY BY: ORDONEZ, MARTA

TEAM: 11 DATE: 11/16/99

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Receipt

TECH CENTER 1800/2900

Patent

Attorney's Docket No. 001560-373

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Kazuhiro Ohsuye et al.

Application No.: 09/402,093

Filed: September 29, 1999

For: PROCESS FOR PRODUCING  
PEPTIDES USING A HELPER  
PEPTIDE



Group Art Unit: 1646

Examiner: Unassigned

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the Official Filing Receipt marked in red to show correction that is needed. The correction is as follows.

Please correct the title of the invention to read:

**"PROCESS FOR PRODUCING PEPTIDES USING A HELPER PEPTIDE"**

Issuance of a corrected Official Filing Receipt is respectfully requested.

[X] This Request for Corrected Official Filing Receipt is being filed to correct a Patent Office error. No fee is required. Enclosed is a copy of the Transmittal letter to the United States Designated Elected Office (DO/EO/US) concerning a Filing Under 35 U.S.C. §371 showing the correct information was timely submitted.

[ ] The \$25.00 (576) fee required under 37 C.F.R. § 1.19(h) to correct an Official Filing Receipt due to applicant error: [ ] is enclosed; [ ] is authorized to be charged to Deposit Account No. 02-4800 and this paper is submitted in duplicate.

Respectfully submitted, -

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

Dawn M. Gardner

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Date: March 8, 2000